

Namibia

Controlled Wildlife Products and Trade Act, 2008 Act 9 of 2008

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Controlled Wildlife Products and Trade Act, 2008



Republic of Namibia Annotated Statutes

Controlled Wildlife Products and Trade Act, 2008 Act 9 of 2008

Published in Government Gazette 4190 on 30 December 2008

Assented to on 14 December 2008

Commenced on 15 February 2012 by <u>Determination of date of</u> commencement of Controlled Wildlife Products and Trade Act, 2008

[This is the version of this document from 29 May 2020 and includes any amendments published up to 8 May 2024.]

[Amended by <u>Amendment of Schedule 3: Controlled Wildlife Products</u> and Trade Act, 2008 (Government Notice 94 of 2017) on 24 April 2017] [Amended by <u>Amendment of Schedule 3: Controlled Wildlife Products</u> and Trade Act, 2008 (Government Notice 122 of 2020) on 29 May 2020]

ACT

To provide for the implementation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora; and to provide for incidental matters.

1. Definitions and interpretation

(1) In this Act unless the context indicates otherwise any word to which a meaning has been assigned by the Convention bears that meaning, and -

"Appendix" means the relevant appendix to the Convention;

"**controlled wildlife product**" means any animal or plant (or any portion thereof), as well as any product or substance derived from any plant or animal as set out in Schedule 1;

"Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora, signed at Washington on 3 March, 1973, as amended in Bonn on 22 June 1979 and acceded to by Namibia on 18 December 1990, the text of which is set out in Schedule 2;

"**deal in**" means sell, buy, offer or expose for sale or purchase, barter or offer as valuable consideration;

"Minister" means the Minister responsible for environment;

"Ministry" means the Ministry of Environment and Tourism;

"staff member" means a staff member as defined in section 1 of the Public Service Act, 1995 (Act No. 13 of 1995);

"this Act" includes the Convention as well as regulations made under section 9;

- (2) Any reference to "Article", and "Appendix" is construed to be a reference to that Article or Appendix of the Convention.
- (3) Any provision of this Act is construed with reference to the Convention and any provision of the Convention is deemed to be part of this Act and for that purpose -
 - (a) the Management Authority for Namibia is the Minister;
 - (b) the Scientific Authority for Namibia is the technical committee established by <u>section 3</u>;
 - (c) a species is deemed to be included in an Appendix only if it appears in the relevant column of Schedule 3 and also subject to such further qualifications as set out in the relevant entry or such further notes as may appear in that Schedule.

2. Relation with other laws

The prohibition of any action, the prohibition of the possession of any thing or the requirement for a permit or certificate to do anything or to possess anything are in addition to any prohibition or requirement contained in any other law.

3. Technical committee

- (1) A technical committee that must perform the duties and which has the powers assigned to the Scientific Authority by the Convention is hereby established.
- (2) The technical committee consists of -
 - (a) two staff members from the Ministry;
 - (b) one staff member whose duties relate to veterinary services;
 - (c) one staff member whose duties relate to botanical research;
 - (d) one staff member from the Ministry of Fisheries and Marine Resources;
 - (e) one staff member whose duties relate to the National Museum.
- (3) The Minister must appoint the members of the technical committee on the recommendation of the Minister responsible for the ministry, office or agency where the relevant staff member is employed.
- (4) The members of the technical committee must be appointed for a period of three years and may be reappointed on the expiry of that period.
- (5) A member of the committee ceases to hold office if he or she ceases to be a staff member as contemplated in subsection (2).
- (6) The Minister may remove a member of the committee, if in his or her opinion -
 - (a) the organisation of the public service has changed in such a manner or the person in question has been appointed in another post that has the effect that the appointment of the person on the committee concerned is no longer appropriate;
 - (b) the person concerned is for any other reason unable to effectively fulfil his or her duties as a member of the committee.
- (7) If a position on the committee becomes vacant as contemplated in subsection (5) or (6), the Minister may appoint a member to fill the vacant position and the new member holds the position for the unexpired portion of the term of office of the member in whose position he or she has been appointed.

- (8) A quorum at a meeting of the committee is four members.
- (9) The Minister must appoint one of the members of the committee as the chairperson.
- (10) The chairperson must preside at a meeting of the committee.
- (11) If the chairperson is not present at a meeting of the committee, the members must elect one of them to preside at that meeting.
- (12) Any decision of the committee is taken by a majority of the members present at a meeting of the committee, and in the case of an equality of votes, the person who presides at such a meeting has a casting vote as well as his or her deliberative vote.
- (13) The committee must meet at least once a year.
- (14) The committee must meet at such times and places as the committee has determined or in the absence of such determination as the chairperson or the Minister has determined.
- (15) The Minister may at any time call a meeting of the committee.
- (16) No decision of the committee is invalid only because there was a vacancy on the committee or because a person participated in the deliberations of the committee or voted while such person was not entitle to participate or vote if there was a quorum at the meeting concerned and a majority of the members present voted in favour of the decision in question.
- (17) Subject to the provisions of this Act, the committee may determine its own procedure.

4. Possession of and dealing with controlled wildlife products

- (1) Any person who -
 - (a) possesses any controlled wildlife product the possession of which is unlawful in terms of Schedule 1;
 - (b) deals in any controlled wildlife product if the dealing therein is unlawful in terms of Schedule 1;
 - (c) manufactures anything from a controlled wildlife product if such manufacture is unlawful in terms of Schedule 1;
 - (d) imports any controlled wildlife product if the import thereof is unlawful in terms of Schedule 1; or
 - (e) exports any controlled wildlife product if the export thereof is unlawful in terms of Schedule 1,

commits an offence unless he or she has been issued with a permit contemplated in subsection (3) authorising the act in question and unless he or she complies with the conditions specified in the permit.

- (2) A person who has contravened subsection (1) is -
 - (a) if he or she has only possessed the product as contemplated in subsection (1)(a), on conviction liable to a fine not exceeding N\$20 000 or imprisonment for a period not exceeding five years or to both such fine and such imprisonment;
 - (b) if he or she has performed any action referred to in subsection (1)(b), (1)(c), (1)(d) or (1)(e), on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.
- (3) The Minister may issue a permit in the form determined by him or her authorising any action in respect of a controlled wildlife product.

- (4) The Minister may specify any class of action or class of product for which the permit in question is issued.
- (5) The Minister may impose such conditions as he or she considers necessary in order to achieve the objects of this Act when issuing a permit in terms of subsection (3), which conditions may include -
 - (a) requirements relating to the keeping of records;
 - (b) requirements relating to the marking of specific specimens or any requirements that will facilitate the identification of specific specimens;
 - (c) requirements relating to the registration of specific specimens.
- (6) The class of controlled wildlife products for which the permit is issued, the conditions subject to which the permit is issued, the period for which and the actions authorised by the permit, must be indicated on the permit in question.

5. Acts prohibited by Convention

- (1) No person may -
 - (a) import;
 - (b) export;
 - (c) re-export; or
 - (d) introduce from the sea,

whether to or from Namibia, in contravention of Article III, Article IV or Article V any specimen contemplated in those Articles, unless the certificates and permits contemplated in those Articles have been obtained or unless the actions concerned are exempt from the obtaining of such certificates or permits.

- (2) Any person who contravenes subsection (1) commits an offence and is on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.
- (3) The court convicting a person of a contravention of subsection (1) may impose an additional fine or make a compensation order in favour of the State as authorised by laws relating to criminal procedure to compensate the State for -
 - (a) all costs relating to the care or medical treatment of live specimens and the disposal thereof, whether incurred before the order or thereafter;
 - (b) all costs relating to the return of any specimen (whether alive or dead) to its country of origin;
 - (c) an amount equivalent to all costs incurred to restore a population of wildlife harmed through unlawful removal from the wild by the person convicted or commensurate with the impact of unlawful removal by the person convicted:

Provided that any costs referred to in paragraph (a) and (b) may also be recovered by civil suit from any person convicted of an offence relating to the specimen concerned, or from whom such specimen has been seized.

6. Inspectors

- (1) The Minister may appoint any staff member in the Ministry to be an inspector.
- (2) An inspector must be issued with a certificate of appointment signed by the Minister and he or she must on request produce such certificate when exercising any power under this Act.

(3) A customs officer, police officer or nature conservator may exercise any power conferred upon an inspector by this Act, in addition to any other powers vesting in such offcer by any other law.

[The word "officer" is misspelt in the Government Gazette in itssecond use in subsection (3), as reproduced above.]

(4) For the purposes of subsection (3) "customs officer" means an officer as defined in section 1 of the Customs and Excise Act, 1998 (Act No. 20 of 1998), "police officer" means a member of a police force established under any law, and "nature conservator" has the meaning assigned thereto by the Nature Conservation Ordinance, 1975 (Ordinance No. 4 of 1975).

7. Powers of inspectors

- (1) An inspector may request any person who in his or her opinion possesses anything in respect of which a permit or certificate is required -
 - (a) to make such thing available for inspection;
 - (b) to produce the relevant permit or certificate.
- (2) An inspector may examine any consignment which he or she reasonably suspects of containing any specimen of a species referred to in Schedule 3 or any controlled wildlife product.
- (3) An inspector may search any premises that is not used as a private dwelling in order to determine whether any provision of this Act has been or is being contravened.
- (4) An inspector may request a police officer to accompany him or her while he or she is investigating any matter relating to this Act and the police officer may exercise any power vesting in that police officer in the presence of the inspector concerned: Provided that a police officer may not search a person of a different gender from the inspector concerned in the presence of that inspector.
- (5) An inspector may request a veterinarian to examine any live animal to determine any question relevant for the exercise of a discretion under this Act.
- (6) An inspector may -
 - (a) seize any specimen if the person in possession thereof does not produce the permit or certificate required by this Act in respect of that specimen;
 - (b) seize anything used for, or in relation with the commission of any offence under this Act which includes a vehicle, firearm, trap, container, cage or other equipment associated with the hunting, transport, capturing, collecting, keeping, processing or manufacturing of the specimen concerned;
 - (c) seize anything that may afford evidence of the commission of an offence under this Act;
 - (d) take such samples from any specimen or anything relating to such specimen that may be relevant for the determination of a question relevant for the exercise of a discretion under this Act, or that may afford evidence for the commission of an offence under this Act;
 - (e) examine any book, record, or document, as well as any computer system in which he or she reasonably believes anything relevant to an offence or duty contemplated in this Act is stored;
 - (f) instruct any person to afford such assistance as is reasonably required to interpret or extract relevant information from such book, record, document or computer system;
 - (g) put any question to any person that in the opinion of the inspector is necessary in order to exercise any discretion conferred by this Act or to clarify any matter in any record or book or with relation to any specimen.
- (7) When an inspector seizes anything in terms of this Act, he or she must issue a receipt for such item.

- (8) Any person who -
 - (a) hinders or obstructs an inspector from exercising any power under this Act;
 - (b) refuses or fails to comply with any request made by an inspector under this Act without a reasonable excuse; or
 - (c) without a reasonable excuse, fails or refuses to answer a question put by an inspector under this Act,

commits an offence and is on conviction liable to a fine not exceeding N\$4 000 or imprisonment for a period not exceeding one year or to both such fine and such imprisonment.

8. Forfeiture

- (1) Subject to subsection (2) the provisions of the Criminal Procedure Act, 1977 (Act No. 51 of 1977), relating to the seizure and the forfeiting to the State of a thing relating to an offence, by a police officer or peace officer including provisions relating to the forfeiture, handling and storage of anything seized under that Act, as well as the provisions relating to rights of third parties when anything is declared forfeited, is applicable to anything seized under this Act and a reference in that Act to a peace officer or police officer is construed as a reference to an inspector.
- (2) If the thing seized is a living plant or animal, the inspector may return such animal to its country of origin or release that plant or animal in an appropriate place in Namibia or deal therewith in any manner conducive to the well-being thereof, regardless of any rights in that thing that has not been conclusively determined but this subsection does not affect a claim of compensation for an unlawful seizure or disposal.
- (3) Anything forfeited to the State as contemplated in this section must, if circumstances permit -
 - (a) be returned to the country of export or origin of the specimen as appropriate and any cost incurred in relation thereto may be recovered from any person convicted of an offence under this Act if the offence relates to the specimen concerned;
 - (b) be deposited in an appropriate institution, collection or museum or otherwise disposed of in a manner that benefits conservation.

9. Regulations

- (1) The Minister may make regulations -
 - (a) prescribing the forms on which application must be made for permits or certificates required by this Act;
 - (b) prescribing the procedures with which an applicant for a permit or certificate must comply;
 - (c) prescribing any matter relating to the packaging of any specimen;
 - (d) prescribing requirements with which persons who keep live specimens must comply in order to promote the well-being of the specimen concerned;
 - (e) prescribing any procedure that must be followed when any animal, plant or any part thereof or specimen thereof is imported, exported or introduced from the sea;
 - (f) prescribing that any person who possesses, deals in, manufactures, imports or exports any controlled wildlife product or specimen (whether that person requires a permit or not) must keep records in the prescribed form and manner;
 - (g) prescribing the manner that a prescribed class of specimens may or must be marked, prohibiting the tampering with or the performance of any action with respect to such mark and prescribing any other matter relating to such mark;

- (h) prescribing fees for the issuing of permits, registration or other administrative actions connected with the implementation of this Act;
- (i) prescribing any matter that is necessary or expedient to prescribe in order to achieve the objects of this Act;
- (2) Regulations made under subsection (1) may create offences with a penalty that does not exceed a fine of N\$8 000 or two years imprisonment or both such fine and such imprisonment.

10. Delegation of powers

- (1) Any power -
 - (a) vesting in the Minister (except the power to make regulations);
 - (b) vesting in the committee,

may be delegated to any staff member in the Ministry.

- (2) When a power is delegated under this section, the authority that delegates the power concerned, may delegate any class of the powers vesting in that authority under such conditions as may be expedient.
- (3) When powers are delegated under this section, the authority that delegates such powers, may issue directives circumscribing any issue with relation to the exercise of that power as may appear expedient.
- (4) A delegation in terms of this section may at any time be withdrawn or amended.
- (5) The committee may assign the research of any technical question to any person (whether a member or not) under such conditions as may be agreed with that person.
- (6) A person to whom the research of a technical matter has been assigned as contemplated in subsection (5), must prepare a report on the research conducted to be submitted to the committee.
- (7) If the committee adopts the report referred to in subsection (6), it is deemed that the committee has conducted the research in question.

11. Amendment of Schedules

(1) Whenever Appendices I, II or III is amended, the Minister must by notice in the Gazette amend Schedule 3 accordingly.

[To be grammatically correct, subsection (1) should read "Whenever Appendix I, II or III is amended...".]

- (2) For the purpose of criminal liability or the seizure or forfeiture of any article, an amendment to Schedule 3 takes effect on the date when the notice is published as contemplated in subsection (1) or on a later date specified in that notice.
- (3) The Minister may amend Schedule 1 by notice in the Gazette.
- (4) When the Minister amends Schedule 1, the Minister may specify in respect of any animal, plant, part, product or substance that -
 - (a) the possession thereof is unlawful;
 - (b) dealing therein is unlawful;
 - (c) the import thereof is unlawful;
 - (d) the export thereof is unlawful,

or that more than one of these actions are unlawful.

- (5) When controlled wildlife products are specified as contemplated in this section, the Minister may distinguish between -
 - (a) different species, varieties or populations of plants or animals;
 - (b) the country or region from which the animal or plant originates;
 - (c) the product or substance for which the act in question is specified;
 - (d) the process used when the animal or plant has been caught or collected or the process used in extracting or manufacturing the product or substance; or
 - (e) any other criterion that is relevant for the achievement of the objects of this Act.
- (6) When the Minister amends Schedule 1, any act referred to in subsection (4), may be made conditional upon the compliance with any formalities relating to the registration, marking or any other action that will facilitate the identification of any specific specimen.

12. Jurisdiction of magistrate's court

Despite any provision of any other law, a magistrate's court has the power to impose any sentence or make any order in respect of an offence under this Act.

13. Repeal of laws and transitional provisions

- (1) The Controlled Game Products Proclamation, 1980 (Proclamation AG. 42 of 1980), is repealed.
- (2) Any person who has possessed anything lawfully before this Act comes into operation or before Schedule 1 or 3 is amended in such a manner that the person concerned would require a permit after such amendment, must apply for a permit authorising such possession within six months from such commencement or amendment.
- (3) The provisions of subsection (2) apply to a person who is the holder of a permit issued in terms of the law repealed by subsection (1).
- (4) If a person contemplated in subsection (2) or (3) has failed to apply within the period provided for in subsection (2), the possession in question is deemed to be possession without a permit as contemplated in section 4(1).

14. Short title and commencement

This Act is called the Controlled Wildlife Products and Trade Act, 2008 and comes into operation on a date determined by the Minister by notice in the Gazette.

Schedule 1

CONTROLLED WILDLIFE PRODUCTS

(Section 1)

1. Subject to paragraph 2 and 3 no person may possess, manufacture any object from, deal in, import into, or export from Namibia any tusk, horn, head, ear, trunk, skin, tail or foot or any part thereof, of any elephant or rhinoceros, or any part of any species or other specimen mentioned in Appendix I unless the action in question is authorised by a permit.

2. Paragraph 1 does not apply to the possession of up to five items of worked ivory with a total weight of less than 1 kg for personal use only.

3. Paragraph 1 does not apply to omakipa or other ivory carvings that are possessed or transferred in accordance with the customary law or the long-standing customs of any group of people indigenous to Namibia.

4. Paragraph 1 does not authorise the sale of the items referred to in that paragraph to any person who does not possess that item in accordance with such customary law or custom.

5. Subject to paragraph 7, no person may possess, deal in, import or export to or from Namibia any live mammal of the order carnivora, without a permit authorising the action in question.

6. Subject to paragraph 7, no person may import or export to or from Namibia any specimen derived from a mammal of the order carnivora without a permit authorising the action in question.

7. Paragraph 5 and 6 do not apply to any domesticated form of mammal of the order carnivora.

Schedule 2

[The official text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora and its Appendices can be found on the website of the official depository, which is the Swiss government:]

[<www.eda.admin.ch/eda/fr/dfae/politique-exterieure/droit-international-public/traitesinternationaux/depositaire/esp%C3%A8ces-menacees-(cites)/convention-sur-le-commerceinternational-des-esp%C3%A8ces-de-faune-et-de-flore-sauvages-menacees-d-extinction.html>.]

[This links to the front page for the Convention, which is presented only in French. To find the original text of the Convention and the original Appendices in English, scroll to the heading heading "Texte original de la Convention" and click on "anglais" for the main text and "annexes (en)" for the appendices.]

TEXT OF CONVENTION

(Section 1)

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade; Convinced of the urgency of taking appropriate measures to this end; Have agreed as follows:

[The paragraph above is reproduced as it appears in the Government Gazette. It is formatted differently in the official text of the Convention.]

Article I – Definitions

For the purpose of the present Convention, unless the context otherwise requires:

- (a) "Species" means any species, subspecies, or geographically separate population thereof;
- (b) "Specimen" means:
 - (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and

- (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "Trade" means export, re-export, import and introduction from the sea;
- (d) "Re-export" means export of any specimen that has previously been imported;
- (e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

Article II – Fundamental Principles

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.

2. Appendix II shall include:

- (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
- (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.

3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other Parties in the control of trade.

4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III – Regulation of Trade in Specimens of Species Included in Appendix I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.

3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
- (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. Acertificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
- (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV – Regulation of Trade in Specimens of Species Included in Appendix II

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
- (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

Article V – Regulation of Trade in Specimens of Species Included in Appendix III

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI – Permits and Certificates

1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as diffcult as possible.

[The word "difficult" is misspelt in the Government Gazette, as reproduced above. It is spelt correctly in the official text of the Convention.]

Article VII – Exemptions and Other Special Provisions Relating to Trade

1. The provisions of Articles III, IV and V shall not apply to the transit or transhipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.

3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

- (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
- (b) in the case of specimens of species included in Appendix II:
 - (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
 - (ii) they are being imported into the owner's State of usual residence; and
 - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens; unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived there from, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V. VII6 VII6

[The word "therefrom" should be written as one word. The numerals "VIII6 VIII6" do not appear in the official version of the Convention.)

6. The provisions of Articles III, IV and V shall not apply to the non- commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant materials which carry a label issued or approved by a Management Authority.

[The term "live plant material" is singular in the official text of the Convention.]

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- (a) the exporter or importer registers full details of such specimens with that Management Authority;
- (b) the specimens are in either of the categories specified in paragraph 2 or 5 of this Article; and

(c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII - Measures to Be Taken by the Parties

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- (a) to penalize trade in, or possession of, such specimens, or both; and
- (b) to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

- (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

[The word "subparagraph" in paragraph (c) appears without a hyphen in the official text of the Convention]

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated. VIII6 VIII6

[The numerals "VIII6 VIII6" do not appear in the official version of the Convention.]

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

- (a) the names and addresses of exporters and importers; and
- (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question. VIII7 VIII7

[The numerals "VIII7 VIII7" do not appear in the official version of the Convention.]

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

(a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and

[The word "subparagraph" in paragraph (a) appears without a hyphen in the official text of the Convention, as in the Government Gazette, even though this is inconsistent with other usages of the term in the official text.] (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX - Management and Scientific Authorities

1. Each Party shall designate for the purposes of the present Convention:

- (a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and
- (b) one or more Scientific Authorities.

2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.

3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.

4. Any Management Authority referred to in paragraph 2 of this Article shall, if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X – Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI – Conference of the Parties

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.

2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise and extraordinary meetings at any time on the written request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:

(a) make such provision as may be necessary to enable the Secretariat to carry out its duties, and adopt financial provisions;

[Paragraph (a) as it appears here incorporates the Amendment to Article XI of the Convention made at Bonn (Germany) on 22 June 1979, which Namibia has accepted.]

- (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
- (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
- (d) receive and consider any reports presented by the Secretariat or by any Party; and
- (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.

5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:

- (a) international agencies or bodies, either governmental or non- governmental, and national governmental agencies and bodies; and
- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

Article XII – The Secretariat

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
- (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

Article XIII - International Measures

1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV – Effect on Domestic Legislation and International Conventions

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

- (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
- (b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV – Amendments to Appendices I and II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
- (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

[The word "affirmative" is misspelt in the Government Gazette, as reproduced above. It is spelt correctly in the official text of the Convention.]

(c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a twothirds majority of Parties casting an affirmative or negative vote.
- (k) The Secretariat shall notify all Parties of the result of the vote.
- (l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

Article XVI - Appendix III and Amendments thereto

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species

so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

[The word "subject" should be written as one word, as it appears in the official text of the Convention.]

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

Article XVII - Amendment of the Convention

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

[The word "affirmative" is misspelt in the Government Gazette, as reproduced above. It is spelt correctly in the official text of the Convention.]

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

Article XVIII – Resolution of Disputes

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

[The word "subject" should be written as one word, as it appears in the official text of the Convention.]

2. If the dispute can not be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

[The term "can not" appears as one word in the official text of the Convention.]

Article XIX – Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX – Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI – Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

[Note that Namibia is not a party to the amendments to Article XXI agreed upon by the Parties to CITES at the second extraordinary meeting in Gaborone, Botswana, on 30 April 1983.]

Article XXII – Entry into Force

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.

2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII – Reservations

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.

2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:

- (a) any species included in Appendix I, II or III; or
- (b) any parts or derivatives specified in relation to a species included in Appendix III.

3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV – Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV – Depositary

1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.

2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

Schedule 3 (Section 1)

Appendices to Convention

[Schedule 3 is substituted by <u>GN 94/2017</u> and <u>GN 122/2020</u>.]

[Note that the Appendices to CITES can be, and regularly are, amended by "the Conference of the Parties" under Articles XV and XVI of the Convention, and the amendments enter into force automatically for all parties.]

[However, section 11(1) of this Act states: "Whenever Appendices I, II or III is amended [sic], the Minister must by notice in the Gazette amend Schedule 3 accordingly." In addition, section 1(3)(c) of this Act states: "Any provision of this Act is construed with reference to the Convention and any provision of the Convention is deemed to ORCHIDACEAE be part of this Act and for that purpose ... (c) a species is deemed to be included in an Appendix only if it appears in the relevant column of Schedule 3 and also subject to such further qualifications as set out in the relevant entry or such further notes as may appear in that Schedule."]

[The version of the Appendices on the CITES website <www.cites.org/eng/app/appendices.php> is updated regularly to include the latest amendments to the CITES Appendices in a convenient table format, which differs from the original text of the Convention and its amendments. The table format from the CITES website has been copied in the Government Gazette and is is presented below as it appears in the Government Gazette.]

Interpretations

- 1. Species included in these Appendices are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation "spp." is used to denote all species of a higher taxon.
- 3. Other references to taxa higher than species are for the purposes of information or classification only. The common names included after the scientific names of families are for reference only. They are intended to indicate the species within the family concerned that are included in the Appendices. In most cases this is not all of the species within the family.
- 4. The following abbreviations are used for plant taxa below the level of species:
 - (a) "ssp." is used to denote subspecies; and
 - (b) "var(s)." is used to denote variety (varieties).
- 5. As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.
- 6. The names of the countries in parentheses placed against the names of species in Appendix III are those of the Parties submitting these species for inclusion in this Appendix.
- 7. When a species is included in one of the Appendices, all parts and derivatives of the species are also included in the same Appendix unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in Appendix II or III refers to a footnote that indicates the parts or derivatives of plants that are designated as 'specimens' subject to the provisions of the Convention in accordance with Article I, paragraph (b), subparagraph (iii).

8. The terms and expressions below, used in annotations in these Appendices, are defined as follows:

Extract

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semi-solid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

Powder

A dry, solid substance in the form of fine or coarse particles.

Woodchips

Wood that has been reduced to small pieces.

		Appendices	
	I	П	III
FAUNA (ANIMALS)			
PHYLUM CHORDATA			
CLASS MAMMALIA (MAMMALS)			
ARTIODACTYLA			
Antilocapridae			
Pronghorns			
	Antilocapra americana (Only the population of Mexico; no other population is included in the Appendices)		
Bovidae			
Antelopes, cattle, duikers, gazelles, goats, sheep, etc.			
	Addax nasomaculatus		
		Ammotragus lervia	
			Antilope cervicapra
			(Nepal, Pakistan)
	<i>Bos gaurus</i> (Excludes the domesticated form, which is referenced as <i>Bos frontalis</i> , and is not subject to the provisions of the Convention)		
	<i>Bos mutus</i> (Excludes the domesticated form, which is referenced as <i>Bos grunniens</i> , and is not		

subject to the provisions of the Convention)		
Bos sauveli		
		Boselaphus tragocamelus (Pakistan)
		Bubalus arnee (Excludes the domesticated form, which is referenced as Bubalus bubalis and is not subject to the provisions of the Convention) (Nepal)
Bubalus depressicornis		
Bubalus mindorensis		
Bubalus quarlesi		
	Budorcas taxicolor	
	Capra caucasica	
Capra falconeri		
		<i>Capra hircus aegagrus</i> (Specimens of the domesticated form are not subject to the provisions of the Convention) (Pakistan)
		<i>Capra sibirica</i> (Pakistan)
Capricornis milneedwardsii		

Capricornis sumatraensis		
Capricornis thar		
	Cephalophus brookei	
	Cephalophus dorsalis	
Cephalophus jentinki		
	Cephalophus ogilbyi	
	Cephalophus silvicultor	
	Cephalophus zebra	
	Damaliscus pygargus pygargus	
		<i>Gazella bennettii</i> (Pakistan)
Gazella cuvieri		
		<i>Gazella dorcas</i> (Algeria, Tunisia)
Gazella leptoceros		
Hippotragus niger variani		
	Kobus leche	
Naemorhedus baileyi		
Naemorhedus caudatus		
Naemorhedus goral		
Naemorhedus griseus		

Nanger dama		
Oryx dammah		
Oryx leucoryx		
	Ovis ammon	
	Ovis arabica	
	Ovis bochariensis	
	<i>Ovis canadensis</i> (Only the population of Mexico; no other population is included in the Appendices)	
	Ovis collium	
	Ovis cycloceros	
	Ovis darwini	
<i>Ovis gmelini</i> (Only the population of Cyprus; no other population is included in the Appendices)		
Ovis hodgsonii		
	Ovis jubata	
	Ovis karelini	
Ovis nigrimontana		
	Ovis polii	
	Ovis punjabiensis	
	Ovis severtzovi	

	Ovis vignei		
	Pantholops hodgsonii		
		Philantomba monticola	
			Pseudois nayaur (Pakistan)
	Pseudoryx nghetinhensis		
		Rupicapra pyrenaica ornata	
		<i>Saiga borealis</i> (A zero export quota for wild specimens traded for commercial purposes)	
		<i>Saiga tatarica</i> (A zero export quota for wild specimens traded for commercial purposes)	
			Tetracerus quadricornis (Nepal)
Camelidae Camels, guanacos, vicunas			
		Lama guanicoe	
	<i>Vicugna vicugna</i> [Except the populations of: Argentina (the populations of the Provinces of Jujuy and Catamarca and the semi- captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile (population of the Primera Región), Ecuador (the whole		

	population), Peru (the whole population) and the Plurinational State of Bolivia (the whole population), which are included in Appendix II]		
		Vicugna vicugna [Only the populations of Argentina (the populations of the Provinces of Jujuy and Catamarca and the semi- captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile (population of the Primera Region), Ecuador (the whole population), Peru (the whole population) and the Plurinational State of Bolivia (the whole population); all other populations are included in Appendix I] ¹	
Cervidae Deer, huemuls, muntjacs, pudus			
	Axis calamianensis		
	Axis kuhlii		
			Axis porcinus (Except the subspecies included in Appendix I) (Pakistan)
	Axis porcinus annamiticus		
	Blastocerus dichotomus		
		Cervas elaphus bactrianus	

			Cervus elaphus barbarus
			(Algeria, Tunisia)
	Cervus elaphus hanglu		
	Dama dama mesopotamica		
	Hippocamelus spp.		
			Mazama temama cerasina (Guatemala)
	Muntiacus crinifrons		
	Muntiacus vuquangensis		
			Odocoileus virginianus mayensis
			(Guatemala)
	Ozotoceros bezoarticus		
		Pudu mephistophiles	
	Pudu puda		
	Rucervus duvaucelii		
	Rucervus eldii		
Giraffidae Giraffes			
		Giraffa camelopardalislio	
Hippopotamidae Hippopotamuses			

		Hexaprotodon liberiensis	
		Hippopotamus amphibius	
Moschidae Musk deer			
	<i>Moschus</i> spp. (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)		
		<i>Moschus</i> spp. (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Appendix I)	
Suidae Babirusa, hogs, pigs			
	Babyrousa babyrussa		
	Babyrousa bolabatuensis		
	Babyrousa celebensis		
	Babyrousa togeanensis		
	Sus salvanius		
Tayassuidae Peccaries			
		Tayassuidae spp. (Except the species included in Appendix I and the populations of <i>Pecari</i> <i>tajacu</i> of Mexico and the United States of America, which are	

		not included in the Appendices)	
	Catagonus wagneri		
CARNIVORA			
Ailuridae Red pandas			
	Ailurus fulgens		
Canidae Dogs, foxes, wolves			
			<i>Canis aureus</i> (India)
	<i>Canis lupus</i> (Only the populations of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus</i> <i>familiaris</i> and <i>Canis</i> <i>lupus dingo</i> , respectively, which are not subject to the provisions of the Convention)		
		<i>Canis lupus</i> (Except the populations of Bhutan, India, Nepal and Pakistan, which are included in Appendix I. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus</i> <i>familiaris</i> and <i>Canis</i> <i>lupus dingo</i> , respectively, which are not subject to the provisions of the Convention)	

		Cerdocyon thous	
		Chrysocyon brachyurus	
		Cuon alpinus	
		Lycalopex culpaeus	
		Lycalopex fulvipes	
		Lycalopex griseus	
		Lycalopex gymnocercus	
	Speothos venaticus		
			<i>Vulpes bengalensis</i> (India)
		Vulpes cana	
			Vulpes vulpes griffithi (India)
			Vulpes vulpes montana (India)
			Vulpes vulpes pusilla (India)
		Vulpes zerda	
Eupleridae			
Fossa, falanouc, Malagasy civets			
		Cryptoprocta ferox	
		Eupleres goudotii	
		Eupleres goudotii	

		Fossa fossana	
Felidae Cats			
		Felidae spp. [Except the species included in Appendix I. Excludes specimens of the domesticated form, which are not subject to the provisions of the Convention. For <i>Panthera leo</i> (African populations): a zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes. Annual export quotas for trade in bones, bone pieces, bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.]	
	Acinonyx jubatus (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article III of the Convention)		
	<i>Caracal caracal</i> (Only the population of Asia; all		

other populations are included in Appendix II)	
Catopuma temminckii	
Felis nigripes	
<i>Herpailurus</i> <i>yagouaroundi</i> (Only the populations of Central and North America; all other populations are included in Appendix II)	
Leopardus geoffroyi	
Leopardus guttulus	
Leopardus jacobita	
Leopardus pardalis	
Leopardus tigrinus	
Leopardus wiedii	
Lynx pardinus	
Neofelis nebulosa	
<i>Panthera leo</i> (Only the populations of India; all other populations are included in Appendix II)	
Panthera onca	
Panthera pardus	
Panthera tigris	
Panthera uncia	
Pardofelis marmorata	

	Prionailurus bengalensis bengalensis (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II)	
	Prionailurus planiceps	
	<i>Prionailurus rubiginosus</i> (Only the population of India; all other populations are included in Appendix II)	
	<i>Puma concolor</i> (Only the populations of Costa Rica and Panama; all other populations are included in Appendix II)	
Herpestidae Mongooses		
		Herpestes edwardsi (India, Pakistan)
		<i>Herpestes fuscus</i> (India)
		<i>Herpestes javanicus</i> (Pakistan)
		Herpestes javanicus auropunctatus (India)
		<i>Herpestes smithii</i> (India)
		<i>Herpestes urva</i> (India)

			Herpestes vitticollis
			(India)
Hyaenidae			
Aardwolf, hyenas			
			Hyaena hyaena (Pakistan)
			Proteles cristata (Botswana)
Mephitidae Skunks			
		Conepatus humboldtii	
Mustelidae Badgers, martens, otters, weasels, etc.			
Lutrinae Otters			
		Lutrinae spp. (Except the species included in Appendix I)	
	Aonyx capensis microdon (Only the populations of Cameroon and Nigeria; all other populations are included in Appendix II)		
	Aonyx cinerea		
	Enhydra lutris nereis		
	Lontra felina		
	Lontra longicaudis		

	Lontra provocax	
	Lontra lutra	
	Lutra nippon	
	Lutrogale perspicillata	
	Pteronura brasiliensis	
Mustelinae Grisons, honey badgers, martens, tayra, weasels		
		Eira barbara (Honduras)
		<i>Martes flavigula</i> (India)
		<i>Martes foina intermedia</i> (India)
		<i>Martes gwatkinsii</i> (India)
		<i>Mellivora capensis</i> (Botswana)
		<i>Mustela altaica</i> (India)
		Mustela erminea ferghanae (India)
		<i>Mustela kathiah</i> (India)

	Mustela nigripes		
			Mustela sibirica
			(India)
Odobenidae Walruses			
wanuses			
			Odobenus rosmarus
			(Canada)
Otariidae			
Fur seals, sealions			
		<i>Arctocephalus</i> spp. (Except the species	
		included in Appendix I)	
	Arctocephalus townsendi		
Phocidae			
Seals			
		Mirounga leonina	
	Monachus spp.		
	Monachus spp.		
	Monachus spp.		
Coatis, kinkajous,	Monachus spp.		
Procyonidae Coatis, kinkajous, olingos	Monachus spp.		
Coatis, kinkajous,	Monachus spp.		Nasua narica
Coatis, kinkajous,	Monachus spp.		Nasua narica (Honduras)
Coatis, kinkajous,	Monachus spp.		
Coatis, kinkajous,	Monachus spp.		(Honduras)
Coatis, kinkajous,	Monachus spp.		(Honduras) Nasua nasua solitaria

Ursidae Bears, giant pandas			
Deurs, giunt pundus		<i>Ursidae</i> spp. (Except the species included in Appendix I)	
	Ailuropoda melanoleuca		
	Helarctos malayanus		
	Melursus ursinus		
	Tremarctos ornatus		
	<i>Ursus arctos</i> (Only the populations of Bhutan, China, Mexico and Mongolia: all other populations are included in Appendix II)		
	Ursus arctos isabellinus		
	Ursus thibetanus		
Viverridae Binturong, civets, linsangs, otter-civet, palm civets			
			Arctictis binturong (India)
			<i>Civettictis civetta</i> (Botswana)
		Cynogale bennettii	
		Hemigalus derbyanus	
			Paguma larvata

			(India)
			Paradoxurus hermaphroditus (India)
			<i>Paradoxurus jerdoni</i> (India)
		Prionodon linsang	
	Prionodon pardicolor		
			<i>Viverra civettina</i> (India)
			Viverra zibetha (India)
			<i>Viverricula indica</i> (India)
CETACEA Dolphins, porpoises, whales			
		CETACEA spp. (Except the species included in Appendix I. A zero annual export quota has been established for live specimens from the Black Sea population of <i>Tursiops truncatus</i> removed from the wild and traded for primarily commercial purposes)	
Balaenidae Bowhead whale, right whales			
	Balaena mysticetus		

	Eubalaena spp.	
Balaenopteridae Fin whales, humpback whales, rorquals		
	<i>Balaenoptera</i> <i>acutorostrata</i> (Except the population of West Greenland, which is included in Appendix II)	
	Balaenoptera bonaerensis	
	Balaenoptera borealis	
	Balaenoptera edeni	
	Balaenoptera musculus	
	Balaenoptera omurai	
	Balaenoptera physalus	
	Megaptera novaeangliae	
Delphinidae Dolphins		
	Orcaella brevirostris	
	Orcaella heinsohni	
	Sotalia spp.	
	Sousa spp.	
Eschrichtiidae Grey whale		
	Eschrichtius robustus	

Iniidae			
River dolphins			
	Lipotes vexillifer		
Neobalaenidae			
Pygmy right whale			
	Caperea marginata		
Phocoenidae			
Porpoises			
	Neophocaena asiaeorientalis		
	Neophocaena phocaenoides		
	Phocoena sinus		
Physeteridae			
Sperm whales			
openni whiles			
	Physeter macrocephalus		
Distanistidas		1	
Platanistidae River dolphins			
	Platanista spp.		
Zinhiidae			
Ziphiidae Beaked whales, bottle-			
nosed whales			
	D I		
	Berardius spp.		
	Hyperoodon spp.		
		1	
CHIROPTERA			

Phyllostomidae Broad-nosed bats			
			Platyrrhinus lineatus (Uruguay)
Pteropodidae Fruit bats, flying foxes			
		<i>Acerodon</i> spp. (Except the species included in Appendix I)	
	Acerodon jubatus		
		<i>Pteropus</i> spp. (Except the species included in Appendix I and <i>Pteropus</i> <i>brunneus</i>)	
	Pteropus insularis		
	Pteropus loochoensis		
	Pteropus mariannus		
	Pteropus molossinus		
	Pteropus pelewensis		
	Pteropus pilosus		
	Pteropus samoensis		
	Pteropus tonganus		
	Pteropus ualanus		
	Pteropus yapensis		
CINGULATA			

Dasypodidae Armadillos			
			Cabassous tatouay (Uruguay)
		<i>Chaetophractus</i> <i>nationi</i> (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)	
	Priodontes maximus		
DASYUROMORPHIA			
Dasyuridae Dunnarts			
	Sminthopsis longicaudata		
	Sminthopsis psammophila		
DIPROTODONTIA			
Macropodidae Kangaroos, wallabies			
		Dendrolagus inustus	
		Dendrolagus ursinus	
	Lagorchestes hirsutus		
	Lagostrophus fasciatus		
	Onychogalea fraenata		

Phalangeridae Cuscuses			
		Phalanger intercastellanus	
		Phalanger mimicus	
		Phalanger orientalis	
		Spilocuscus kraemeri	
		Spilocuscus maculatus	
		Spilocuscus papuensis	
Potoroidae Rat-kangaroos			
	Bettongia spp.		
Vombatidae Wombats			
	Lasiorhinus krefftii		
LAGOMORPHA			
Leporidae Hares, rabbits			
	Caprolagus hispidus		
	Romerolagus diazi		
MONOTREMATA			
Tachyglossidae Echidnas, spiny anteaters			

		Zaglossus spp.	
PERAMELEMORPHIA			
Peramelidae Bandicoots, echymiperas			
	Peramles bougainville		
Thylacomyidae Bilbies			
	Macrotis lagotis		
PERISSODACTYLA			
Equidae Horses, wild asses, zebras			
	<i>Equus qfricanus</i> (Excludes the domesticated form, which is referenced as <i>Equus asinus</i> , and is not subject to the provisions of the Convention)		
	Equus grevyi		
		<i>Equus hemionus</i> (Except the subspecies included in Appendix I)	
	Equus hemionus hemionus		
	Equus hemionus khur		
		Equus kiang	
	Equus przewalskii		

		Equus zebra hartmannae	
		Equus zebra zebra	
Rhinocerotidae Rhinoceroses			
	<i>Rhinocerotidae</i> spp. (Except the subspecies included in Appendix II)		
		Ceratotherium simum simum (Only the populations of Eswatini and South Africa; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)	
Tapiridae Tapirs			
	<i>Tapiridae</i> spp. (Except the species included in Appendix II)		
		Tapirus terrestris	
PHOLIDOTA			
Manidae Pangolins			

		<i>Manis</i> spp. (Except the species included in Appendix I)	
	Manis crassicaudata		
	Manis culionensis		
	Manis gigantea		
	Manis javanica		
	Manis pentadactyla		
	Manis temminckii		
	Manis tetradactyla		
	Manis tricuspis		
PILOSA			
Bradypodidae Three-toed sloths			
		Bratypus pygmaeus	
		Bratypus variegatus	
Myrmecophagidae American anteaters			
		Myrmecophaga tridactyla	
			<i>Tamandua mexicana</i> (Guatemala)
PRIMATES Apes, monkeys			

		PRIMATES spp. (Except the species included in Appendix I)	
Atelidae Howler monkeys, spider monkeys			
	Alouatta coibensis		
	Alouatta palliata		
	Alouatta pigra		
	Ateles geoffroyi frontatus		
	Ateles geoffroyi ornatus		
	Brachyteles arachnoides		
	Brachyteles hypoxanthus		
	Oreonax flavicauda		
Cebidae Marmosets, tamarins, new-world monkeys			
	Callimico goeldii		
	Callithrix aurita		
	Callithrix flaviceps		
	Leontopithecus spp.		
	Saguinus bicolor		
	Saguinus geoffroyi		
	Saguinus leucopus		

	Saguinus martinsi	
	Saguinus oedipus	
	Saimiri oerstedii	
Cercopithecidae Old-world monkeys		
	Cercocebus galeritus	
	Cercopithecus diana	
	Cercopithecus roloway	
	Macaca silenus	
	Macaca sylvanus	
	Mandrillus leucophaeus	
	Mandrillus sphinx	
	Nasalis larvatus	
	Piliocolobus kirkii	
	Piliocolobus rufomitratus	
	Presbytis potenziani	
	Pygathrix spp.	
	Rhinopithecus spp.	
	Semnopithecus ajax	
	Semnopithecus dussumieri	
	Semnopithecus entellus	

	Semnopithecus hector	
	Semnopithecus hypoleucos	
	Semnopithecus priam	
	Semnopithecus schistaceus	
	Simias concolor	
	Trachypithecus geei	
	Trachypithecus pileatus	
	Trachypithecus shortridgei	
Cheirogaleidae Dwarf lemurs		
	Cheirogaleidae spp.	
Daubentoniidae Aye-aye		
	Daubentonia madagascariensis	
Hominidae Apes, chimpanzees, gorillas, orang-utans		
	Gorilla beringei	
	Gorilla gorilla	
	Pan spp.	
	Pongo abelii	

	Pongo pygmaeus	
Hylobatidae Gibbons		
	Hylobatidae spp.	
Indriidae Indris, sifakas, woolly lemurs		
	Indriidae spp.	
Lemuridae Large lemurs		
	Lemuridae spp.	
Lepilemuridae Sportive lemurs		
	Lepilemuridae spp.	
Lorisidae Lorises		
	Nycticebus spp.	
Pitheciidae Sakis, uakaris		
	Cacajao spp.	
	Chiropotes albinasus	
PROBOSCIDEA		
Elephantidae Elephants		

	Elephas maximus		
	<i>Loxodonta africana</i> (Except the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Appendix II subject to annotation 2)		
		<i>Loxodonta africana</i> ² (Only the populations of Botswana, Namibia, South Africa and Zimbabwe; all other populations are included in Appendix I)	
RODENTIA			
Chinchillidae Chinchillas			
	<i>Chinchilla</i> spp. (Specimens of the domesticated form are not subject to the provisions of the Convention)		
Cuniculidae Pacas			
			<i>Cuniculus paca</i> (Honduras)
Dasyproctidae Agoutis			
			Dasyprocta punctata (Honduras)
Erethizontidae New-world porcupines			

			Sphiggurus mexicanus (Honduras)
			Sphiggurus spinosus (Uruguay)
Muridae Mice, rats			
	Leporillus conditor		
	Pseudomys fieldi		
	Xeromys myoides		
	Zyzomys pedunculatus		
Sciuridae Ground squirrels, tree squirrels			
	Cynomys mexicanus		
			<i>Marmota caudata</i> (India)
			<i>Marmota himalayana</i> (India)
		Ratufa spp.	
SCANDENTIA Tree shrews			
		SCANDENTIA spp.	
			4

Dugong			
	Dugong dugon		
Trichechidae Manatees			
	Trichechus inunguis		
	Trichechus manatus		
	Trichechus senegalensis		
CLASS AVES (BIRDS)			
ANSERIFORMES			
Anatidae Ducks, geese, swans, etc.			
	Anas aucklandica		
		Anas bernieri	
	Anas chlorotis		
		Anas formosa	
	Anas laysanensis		
	Anas nesiotis		
	Asarcornis scutulata		
	Branta canadensis leucopareia		
		Branta ruficollis	
	Branta sandvicensis		

		Coscoroba coscoroba	
		Cygnus melancoryphus	
		Dendrocygna arborea	
			Dendrocygna autumnalis (Honduras)
			Dendrocygna bicolor (Honduras)
		Oxyura leucocephala	
	Rhodonessa caryophyllacea		
		Sarkidiornis melanotos	
APODIFORMES			
Trochilidae Hummingbirds			
		<i>Trochdidae</i> spp. (Except the species included in Appendix I)	
	Glaucis dohrnii		
CHARADRIIFORMES			
Burhinidae Thick-knees			
			Burhinus bistriatus (Guatemala)
Laridae Gulls			

	Larus relictus		
Scolopacidae			
Curlews, greenshanks			
	Numenius borealis		
	Numenius tenuirostris		
	Tringa guttifer		
CICONIIFORMES			
Balaenicipitidae			
Shoebills, whale-headed storks			
		Balaeniceps rex	
Ciconiidae			
Storks			
	Ciconia boyciana		
		Ciconia nigra	
	Jabiru mycteria		
	Mycteria cinerea		
Phoenicopteridae			
Flamingos			
		Phoenicopteridae spp.	
Threskiornithidae Ibises, spoonbills			
101503, 31001101113			
		Eudocimus ruber	

		Geronticus calvus	
	Geronticus eremita		
	Nipponia nippon		
		Platalea leucorodia	
COLUMBIFORMES			
Columbidae Doves, pigeons			
	Caloenas nicobarica		
	Ducula mindorensis		
		Gallicolumba luzonica	
		<i>Goura</i> spp.	
			Nesoenas mayeri (Mauritius)
CORACIIFORMES			
Bucerotidae Hornbills			
		<i>Aceros</i> spp. (Except the species included in Appendix I)	
	Aceros nipalensis		
		Anorrhinus spp.	
		Anthracoceros spp.	
		Berenicornis spp.	

		<i>Buceros</i> spp. (Except the species included in Appendix I)
	Buceros bicornis	
		Penelopides spp.
	Rhinoplax vigil	
		<i>Rhyticeros</i> spp. (Except the species included in Appendix I)
	Rhyticeros subruficollis	
CUCULIFORMES		
Musophagidae Turacos		
		Tauraco spp.
FALCONIFORMES Eagles, falcons, hawks, vultures		
		FALCONIFORMES spp. (Except <i>Caracara lutosa</i> and the species of the family Cathartidae, which are not included in the Appendices; and the species included in Appendices I and III)
Accipitridae Hawks, eagles		
	Aquila adalberti	
	Aquila heliaca	

	Chondrohierax uncinatus wilsonii	
	Haliaeetus albicilla	
	Harpia harpyja	
	Pithecophaga jefferyi	
Cathartidae New-world vultures		
	Gymnogyps Californianus	
		Sarcoramphus papa (Honduras)
	Vultur gryphus	
Falconidae Falcons		
	Falco araeus	
	Falco jugger	
	<i>Falco newtoni</i> (Only the population of Seychelles)	
	Falco pelegrinoides	
	Falco peregrinus	
	Falco peregrinus Falco punctatus	

Chachalacas, currassows, guans		
		<i>Crax alberti</i> (Colombia)
	Crax blumenbachii	
		Crax daubentoni (Colombia)
		<i>Crax globulosa</i> (Colombia)
		<i>Crax rubra</i> (Colombia, Costa Rica, Guatemala, Honduras)
	Mitu mitu	
	Oreophasis derbianus	
		<i>Ortalis vetula</i> (Guatemala, Honduras)
		Pauxi pauxi (Colombia)
	Penelope albipennis	
		Penelope purpurascens (Honduras)
		Penelopina nigra (Guatemala)
	Pipile jacutinga	
	Pipile pipile	

Megapodiidae Megapodes, scrubfowl			
	Macrocephalon maleo		
Phasianidae Grouse, guineafowl, partridges, peafowl, pheasants, tragopans			
		Argusianus argus	
	Catreus wallichii		
	Colinus virginianus ridgwayi		
	Crossoptilon crossoptilon		
	Crossoptilon mantchuricum		
		Gallus sonneratii	
		Ithaginis cruentus	
	Lophophorus impejanus		
	Lophophorus lhuysii		
	Lophophorus sclateri		
	Lophura edwardsi		
			Lophura leucomelanos (Pakistan)
	Lophura swinhoii		
			<i>Meleagris ocellata</i> (Guatemala)

		Pavo cristatus
		(Pakistan)
	Pavo muticus	
	Polyplectron bicalcaratum	
	Polyplectron germaini	
	Polyplectron malacense	
Polyplectron napoleonis		
	Polyplectron schleiermacheri	
		Pucrasia macrolopha
		(Pakistan)
Rheinardia ocellata		
Syrmaticus ellioti		
Syrmaticus humiae		
Syrmaticus mikado		
	Syrmaticus reevesii	
Tetraogallus caspias		
Tetraogallus tibetanus		
Tragopan blythii		
Tragopan caboti		
Tragopan melanocephalus		
		Tragopan satyra

			(Nepal)
		Tympanuchus cupido attwateri	
GRUIFORMES			
Gruidae Cranes			
		<i>Gruidae</i> spp. (Except the species included in Appendix I)	
	Balearica pavonina		
	Grus americana		
	Grus canadensis nesiotes		
	Grus canadensis pulla		
	Grus japonensis		
	Grus leucogeranus		
	Grus monacha		
	Grus nigricollis		
	Grus vipio		
Otididae Bustards			
		<i>Otididae</i> spp. (Except the species included in Appendix I)	
	Ardeotis nigriceps		
	Chlamydotis macqueenii		

	Chlamydotis undulata		
	Houbaropsis bengalensis		
Rallidae Rails			
	Gallirallus sylvestris		
Rhynochetidae Kagu			
	Rhynochetos jubatus		
PASSERIFORMES			
Atrichornithidae Scrub-birds			
	Atrichornis clamosus		
Cotingidae Cotingas			
			<i>Cephalopterus ornatus</i> (Colombia)
			Cephalopterus penduliger (Colombia)
	Cotinga maculata		
		Rupicola spp.	
	Xipholena atropurpurea		
Emberizidae Cardinals, tanagers			

		Gubernatrix cristata	
		Paroaria capitata	
		Paroaria coronata	
		Tangara fastuosa	
Estrildidae Mannikins, waxbills			
		Amandava formosa	
		Lonchura oryzivora	
		Poephila cincta cincta	
Fringillidae Finches			
	Carduelis cucullata		
		Carduelis yarrellii	
Hirundinidae Martins			
	Pseudochelidon sirintarae		
Icteridae New-world blackbirds			
	Xanthopsar flavus		
Meliphagidae Honeyeaters			
		Lichenostomus melanops cassidix	

Muscicapidae Old-world flycatchers			
			Acrocephalus rodericanus (Mauritius)
		Cyornis ruckii	
		Dasyornis broadbenti litoralis	
		Dasyornis longirostris	
		Garrulax canorus	
		Garrulax taewanus	
		Leiothrix argentauris	
		Leiothrix lutea	
		Liocichla omeiensis	
	Picathartes gymnocephalus		
	Picathartes oreas		
			Terpsiphone bourbonnensis
			(Mauritius)
Paradisaeidae Birds of paradise			
		Paradisaeidae spp.	
Pittidae			

		Pitta guajana	
	Pitta gurneyi		
	Pitta kochi		
		Pitta nympha	
Pycnonotidae Bulbuls			
	Pycnonotus zeylanicus		
Sturnidae Mynas, starlings			
		Gracula religiosa	
	Leucopsar rothschildi		
Zosteropidae White-eyes			
	Zosterops albogularis		
PELECANIFORMES			
Fregatidae Frigatebirds			
	Fregata andrewsi		
Pelecanidae Pelicans			
	Pelecanus crispus		
Sulidae Gannets			

	Papasula abbotti		
PICIFORMES			
Capitonidae			
Barbets			
			Semnornis ramphastinus (Colombia)
Picidae Woodpeckers			
	Dryocopus javensis richardsi		
Ramphastidae Toucans			
			Baillonius bailloni (Argentina)
		Pteroglossus aracari	
			Pteroglossus castanotis (Argentina)
		Pteroglossus viridis	
			Ramphastos dicolorus (Argentina)
		Ramphastos sulfuratus	
		Ramphastos toco	
		Ramphastos tucanus	
		Ramphastos vitellinus	

			Selenidera maculirostris
			(Argentina)
PODICIPEDIFORMES			
Podicipedidae Grebes			
	Podifymbus gigas		
PROCELLARIIFORMES			
Diomedeidae Albatrosses			
	Phoebastria albatrus		
PSITTACIFORMES			
		PSITTACIFORMES spp. (Except the species included in Appendix I and Agapornis roseicollis, Melopsittacus undulatus, Nymphicus hollandicus and Psittacula krameri, which are not included in the Appendices)	
Cacatuidae Cockatoos			
	Cacatua goffiniana		
	Cacatua haemtruropygia		
	Cacatua moluccensis		
	Cacatua sulphurea		
	Probosciger aterrimus		

Loriidae		
Lories, lorikeets		
	The Line in	
	Eos histrio	
	Vini ultramarina	
Psittacidae		
Amazons, macaws, parakeets, parrots		
	Amazona arausiaca	
	Amazona auropalliata	
	Amazona barbadensis	
	Amazona brasiliensis	
	Amazona finschi	
	Amazona guildingii	
	Amazona imperials	
	Amazona leucocephala	
	Amazona oratrix	
	Amazona pretrei	
	Amazona rhodocorytha	
	Amazona tucumana	
	Amazona versicolor	
	Amazona vinacea	
	Amazona viridigenalis	

Amazona vittata	
Anodorhynchus spp.	
Ara ambiguus	
Ara glaucogularis	
Ara macao	
Ara militaris	
Ara rubrogenys	
Cyanopsitta spixii	
Cyanoramphus cookii	
Cyanoramphus forbesi	
Cyanoramphus novaezelandiae	
Cyanoramphus saisseti	
Cyclopsiita diophthalma coxeni	
Eunymphicus cornutus	
Guarouba guarouba	
Neophema chrysogaster	
Ognorhynchus icterotis	
Pezoporus occidentalis	
Pezoporus wallicus	
Pionopsitta pileata	

	Primolius couloni		
	Primolius maracana		
	Psephotus chrysopterygius		
	Psephotus dissimilis		
	Psephotus pulcherrimus		
	Psittacula echo		
	Psittacus erithacus		
	Pyrrhura cruentata		
	Rhynchopsitta spp.		
	Strigops habroptilus		
RHEIFORMES			
Rheidae Rheas			
	<i>Pterocnemia pennata</i> (Except <i>Pterocnemia</i> <i>pennata pennata</i> which is included in Appendix II)		
		Pterocnemia pennata pennata	
		Rhea americana	
SPHENISCIFORMES			
Spheniscidae Penguins			
		Spheniscus demersus	

	Spheniscus humboldti		
STRIGIFORMES Owls			
		STRIGIFORMES spp. (Except the species included in Appendix I and <i>Sceloglaux albifacies</i>)	
Strigidae Owls			
	Heteroglaux blewitti		
	Mimizuku gurneyi		
	Ninox natalis		
Tytonidae Barn owls			
	Tyto soumagnei		
STRUTHIONIFORMES			
Struthionidae Ostriches			
	<i>Struthio camelus</i> (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Appendices)		
TINAMIFORMES			

Tinamidae			
Tinamous			
	Tinamus solitarius		
TROGONIFORMES			
Trogonidae			
Quetzals			
	Pharomachrus mocinno		
CLASS REPTILIA			
(REPTILES)			
CROCODYLIA			
Alligators,			
caimans, crocodiles			
		CROCODYLIA spp.	
		(Except the species	
		included in Appendix I)	
Alligatoridae			
Alligators, caimans			
Alligators, califians			
	Alligator sinensis		
	Caiman crocodilus		
	apaporiensis		
	Caiman latirostris		
	(Except the population		
	included in Appendix II)		
	Molanoauchus		
	included in Appendix		
	II, and the population		

	until an annual export quota has been approved by the CITES Secretariat and the IUCN/ SSC Crocodile Specialist Group)	
Crocodylidae Crocodiles		
	<i>Crocodylus acutus</i> (Except the population of the Integrated Management District of Mangroves of the Bay of Cispata, Tinajones, La Balsa and Surrounding Areas. Department of Córdoba, Colombia, and the population of Cuba, which are included in Appendix II; and the population of Mexico, which is included in Appendix II and is subject to a zero-export quota for wild specimens for commercial purposes)	
	Crocodylus cataphractus	
	Crocodylus intermedius	
	Crocodylus mindorensis	
	<i>Crocodylus moreletii</i> (Except the population of Belize, which is included in Appendix II with a zero quota for wild specimens traded for commercial purposes, and the population of Mexico, which is included in Appendix II)	
	<i>Crocodylus niloticus</i> [Except the populations of Botswana, Egypt	

	(subject to a zero quota for wild specimens traded for commercial purposes), Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania (subject to an annual export quota of no more than 1,600 wild specimens including hunting trophies, in addition to ranched specimens), Zambia and Zimbabwe, which are included in Appendix II]	
	Crocodylus palustris	
	<i>Crocodylus porosus</i> {Except the populations of Australia, Indonesia, Malaysia [wild harvest restricted to the State of Sarawak and a zero quota for wild specimens for the other States of Malaysia (Sabah and Peninsular Malaysia), with no change in the zero quota unless approved by the Parties] and Papua New Guinea, which are included in Appendix II}	
	Crocodylus rhombifer	
	Crocodylus siamensis	
	Osteolaemus tetraspis	
	Tomistoma schlegelii	
Gavialidae Gavials		
	Gavialis gangeticus	

RHYNCHOCEPHALIA			
Sphenodontidae Fuataras			
	Sphenodon spp.		
SAURIA			
Agamidae Spiny-tailed lizards, agamas			
		<i>Ceratophora aspera</i> (Zero quota for wild specimens for commercial purposes)	
	Ceratophora erdeleni		
	Ceratophora karu		
		<i>Ceratophora stoddartii</i> (Zero quota for wild specimens for commercial purposes)	
	Ceratophora tennentii		
	Cophotis ceylanica		
	Cophotis dumbara		
		<i>Lyriocephalus scutatus</i> (Zero quota for wild specimens for commercial purposes)	
		Saara spp.	
		Uromastyx spp.	

Alligator lizards		
		Abronia spp. [Except the species included in Appendix I (zero export quota for wild specimens for Abronia aurita, A. gaiophantasma, A. montecristoi, A. salvadorensis and A. vasconcelosii)]
	Abronia anzuetoi	
	Abronia campbelli	
	Abronia fimbriata	
	Abronia frosti	
	Abronia meledona	
Chamaeleonidae Chameleons		
		Archaius spp.
		Bradypodion spp.
		<i>Brookesia</i> spp. (Except the species included in Appendix I)
	Brookesia perarmata	
		Calumma spp.
		Chamaeleo spp.
		Furcifer spp.
		Kinyongia spp.
		Nadzikambia spp.

		Palleon spp.	
		Rhampholeon spp.	
		Rieppeleon spp.	
		Trioceros spp.	
Cordylidae Spiny-tailed lizards			
		Cordylus spp.	
		Hemicordylus spp.	
		Karusaurus spp.	
		Namazonurus spp.	
		Ninurta spp.	
		Ouroborus spp.	
		Pseudocordylus spp.	
		Smaug spp.	
Gekkonidae Geckos			
	Cnemaspis psychedelica		
			Dactylocnemis spp. (New Zealand)
		Gekko gecko	
	Gonatodes daudini		
			Hoplodactylus spp.

		(New Zealand)
Lygodactytus williamsi		
		<i>Mokopirirakau</i> spp. (New Zealand)
	Nactus serpensinsula	
	Naultinus spp.	
	Paroedura androyensis	
	Paroedura masobe	
	Phelsuma spp.	
	Rhoptropella spp.	
		Sphaerodactylus armasi (Cuba)
		Sphaerodactylus celicara (Cuba)
		Sphaerodactylus dimorphicus (Cuba)
		Sphaerodactylus intermedius (Cuba)
		Sphaerodactylus nigropunctatus alayoi (Cuba)
		Sphaerodactylus nigropunctatus granti (Cuba)

	Sphaerodactylus nigropunctatus lissodesmus (Cuba)
	Sphaerodactylus nigropunctatus ocujal (Cuba)
	Sphaerodactylus nigropunctatus strategus (Cuba)
	Sphaerodactylus notatus atactus (Cuba)
	Sphaerodactylus oliveri (Cuba)
	<i>Sphaerodactylus pimienta</i> (Cuba)
	Sphaerodactylus ruibali (Cuba)
	Sphaerodactylus siboney (Cuba)
	Sphaerodactylus torrei (Cuba)
	<i>Toropuku</i> spp. (New Zealand)
	<i>Tukutuku</i> spp. (New Zealand)
Uroplatus spp.	

			woodworthia spp.
			(New Zealand)
Helodermatidae			
Beaded lizards, gila monsters			
		<i>Heloderma</i> spp. (Except the subspecies included in Appendix I)	
	Heloderma horridum charlesbogerti		
Iguanidae Iguanas			
		Amblyrhynchus cristatus	
	Brachylophus spp.		
		Conolophus spp.	
		Ctenosaura spp.	
	Cyclura spp.		
		Iguana spp.	
		Phrynosoma blainvillii	
		Phrynosoma cerroense	
		Phrynosoma coronatum	
		Phrynosoma wigginsi	
	Sauromalus varius		
Lacertidae			
Lizards			

	Gallotia simonyi		
		Podarcis lilfordi	
		Podarcis pityusensis	
Lanthanotidae Earless monitor lizards			
		<i>Lanthanotidae</i> spp. (Zero export quota for wild specimens for commercial purposes)	
Polychrotidae Anoles			
			Anolis agueroi (Cuba)
			Anolis baracoae (Cuba)
			Anolis barbatus (Cuba)
			Anolis chamaeleonides (Cuba)
			Anolis equestris (Cuba)
			Anolis guamuhaya (Cuba)
			Anolis luteogularis (Cuba)
			Anolis pigmaequestris (Cuba)

			Anolis porcus (Cuba)
			(Cuba)
Scincidae			
Skinks			
		Corucia zebrata	
Teiidae			
Caiman lizards, tegu lizards			
		Crocodilurus amazonicus	
		Dracaena spp.	
		Salvator spp.	
		Tupinambis spp.	
Varanidae			
Monitor lizards			
		<i>Varanus</i> spp. (Except the species included in Appendix I)	
	Varanus bengalensis		
	Varanus flavescens		
	Varanus griseus		
	Varanus komodoensis		
	Varanus nebulosus		
Xenosauridae Chinese crocodile lizard			

	Shinisaurus crocodilurus		
SERPENTES			
Boidae			
Boas			
		<i>Boidae</i> spp. (Except the species included in Appendix I)	
	Acrantophis spp.		
	Boa constrictor occidentalis		
	Epicrates inornatus		
	Epicrates monensis		
	Epicrates subflavus		
	Sanzinia madagascariensis		
Bolyeriidae Round Island boas			
		<i>Bolyeriidae</i> spp. (Except the species included in Appendix I)	
	Bolyeria multocarinata		
	Casarea dussumieri		
Colubridae Typical snakes, water snakes, whipsnakes			
			Atretium schistosum (India)

		<i>Cerberus rynchops</i> (India)
	Clelia clelia	
	Cyclagras gigas	
	Elachistodon westermanni	
	Ptyas mucosus	
		Xenochrophis piscator (India)
		Xenochrophis schnurrenbergeri (India)
		Xenochrophis tytleri (India)
Elapidae Cobras, coral snakes		
	Hoplocephalus bungaroides	
		<i>Micrurus diastema</i> (Honduras)
		<i>Micrurus nigrocinctus</i> (Honduras)
		<i>Micrurus ruatanus</i> (Honduras)
	Naja atra	
	Naja kaouthia	

		Naja mandalayensis
		Naja naja
		Naja oxiana
		Naja philippinensis
		Naja sagittifera
		Naja samarensis
		Naja siamensis
		Naja sputatrix
		Naja sumatrana
		Ophiophagus hannah
Loxocemidae Mexican dwarf boas		
		Loxocemidae spp.
Pythonidae		
Pythons		
		<i>Pythonidae</i> spp. (Except the subspecies included in Appendix I)
	Python molurus molurus	
Tropidophiidae		
Wood boas		
		Tropidophiidae spp.
Viperidae		

Vipers			
		Atheris desaixi	
		Bitis worthingtoni	
			<i>Crotalus durissus</i> (Honduras)
			Daboia russelii (India)
		Pseudocerastes urarachnoides	
		Trimeresurus mangshanensis	
	<i>Vipera ursinii</i> (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not included in the Appendices)		
		Vipera wagneri	
TESTUDINES			
Carettochelyidae Pig-nosed turtles			
		Carettochelys insculpta	
Chelidae Austro-American sideneck turtles			
		<i>Chelodina mccordi</i> (Zero export quota for	

		specimens from the wild)	
	Pseudemydura umbrina		
Cheloniidae Sea turtles			
	Cheloniidae spp.		
Chelydridae Snapping turtles			
			<i>Chelydra serpentina</i> (United States of America)
			<i>Macrochelys temminckii</i> (United States of America)
Dermatemydidae Central American river turtles			
		Dermatemys mawii	
Dermochetyidae Leatherback turtles			
	Dermochelys coriacea		
Emydidae Box turtles, freshwater turtles			
		Clemmys guttata	
		Emydoidea blandingii	
		Glyptemys insculpta	

	Glyptemys muhlenbergii		
			Graptemys spp.
			(United States of America)
		Malaclemys terrapin	
		<i>Terrapene</i> spp. (Except the species included in Appendix I)	
	Terrapene coahuila		
Geoemydidae			
Box turtles, freshwater turtles			
	Batagur affinis		
	Batagur baska		
		<i>Batagur borneoensis</i> (Zero quota for wild specimens for commercial purposes)	
		Batagur dhongoka	
		Batagur kachuga	
		<i>Batagur trivittata</i> (Zero quota for wild specimens for commercial purposes)	
		<i>Cuora</i> spp. (Zero quota for wild specimens for commercial purposes for <i>Cuora aurocapitata</i> , <i>C. flavomarginata</i> , <i>C. galbinifrons</i> , <i>C.</i> <i>mccordi</i> , <i>C. mouhotii</i> , <i>C. pani</i> , <i>C. trifasciata</i> ,	

	C. yunnanensis and C. zhoui)	
Cuora bourreti		
Cuora picturata		
	Cyclemys spp.	
Geoclemys hamiltonii		
	Geoemyda japonica	
	Geoemyda spengleri	
	Hardella thurjii	
	<i>Heosemys annandalii</i> (Zero quota for wild specimens for commercial purposes)	
	<i>Heosemys depressa</i> (Zero quota for wild specimens for commercial purposes)	
	Heosemys grandis	
	Heosemys spinosa	
	Leucocephalon yuwonoi	
	Malayemys macrocephala	
	Malayemys subtrijuga	
Mauremys annamensis		
		<i>Mauremys iversoni</i> (China)
	Mauremys japonica	

		Mauremys megalocephala (China)
	Mauremys mutica	
	Mauremys nigricans	
		<i>Maureniys pritchardi</i> (China)
		<i>Maureniys reevesii</i> (China)
		<i>Mauremys sinensis</i> (China)
Melanochelys tricarinata		
	Melanochelys trijuga	
Morenia ocellata		
	Morenia petersi	
	Notochelys platynota	
		<i>Ocadia glyphistoma</i> (China)
		<i>Ocadia philippeni</i> (China)
	<i>Orlitia borneensis</i> (Zero quota for wild specimens for commercial purposes)	
	<i>Pangshura</i> spp. (Except the species included in Appendix I)	

	Deventer i i		
	Pangshura tecta		
		Sacalia bealei	
			Sacalia pseudocellata (China)
		Sacalia quadriocellata	
		Siebenrockiella crassicollis	
		Siebenrockiella leytensis	
		Vijayachelys silvatica	
Platysternidae Big-headed turtles			
	Platystenidae spp.		
Podocnemididae Afro-American sideneck turtles			
		Erymnochelys madagascariensis	
		Peltocephalus dumerilianus	
		Podocnemis spp.	
Testudinidae Tortoises			
		<i>Testudinidae</i> spp. (Except the species included in Appendix I. A zero annual expoit quota has been established for <i>Centrochelys sulcata</i> for specimens removed from	

		the wild and traded for primarily commercial purposes)	
	Astrochelys radiata		
	Astrochelys yniphora		
	Chelonoidis niger		
	Geochelone elegans		
	Geochelone platynota		
	Gopherus flavomarginatus		
	Malacochersus tornieri		
	Psammobates geometricus		
	Pyxis arachnoides		
	Pyxis planicauda		
	Testudo kleinmanni		
Trionychidae Softshell turtles			
		Amyda cartilaginea	
			<i>Apalone ferox</i> (United States of America)
			<i>Apalone mutica</i> (United States of America)

		<i>Apalone spinifera</i> (Except the subspecies included in Appendix I) (United States of America)
Apalone spinifera atra		
	<i>Chitra</i> spp. (Except the species included in Appendix I)	
Chitra chitra		
Chitra vandijki		
	Cyclanorbis elegans	
	Cyclanorbis senegalensis	
	Cycloderma aubryi	
	Cycloderma frenatum	
	Dogania subplana	
	Lissemys ceylonensis	
	Lissemys punctata	
	Lissemys scutata	
	Nilssonia formosa	
Nilssonia gangetica		
Nilssonia hurum		
	Nilssonia leithii	
Nilssonia nigricans		

		Palea steindachneri
		Pelochelys spp.
		Pelodiscus axenaria
		Pelodiscus maackii
		Pelodiscus parviformis
		Rafetus euphraticus
		Rafetus swinhoei
		Trionyx triunguis
CLASS AMPHIBIA (AMPHIBIANS)		
ANURA		
Aromobatidae Cryptic forest frogs		
		Allobates femoralis
		Allobates hodli
		Allobates myersi
		Allobates zaparo
		Anomaloglossus rufulus
Bufonidae Toads		
	Amietophrynus channingi	
	Amietophrynus superciliaris	

	Altiphrynoides spp.		
	Atelopus zeteki		
	Incilius periglenes		
	Nectophrynoides spp.		
	Nimbaphrynoides spp.		
Calyptocephalellidae Chilean toads			
			Calyptocephalella gayi (Chile)
Dendrobatidae Poison frogs			
		Adelphobates spp.	
		Ameerega spp.	
		Andinobates spp.	
		Dendrobates spp.	
		Epipedobates spp.	
		Excidobates spp.	
		Hyloxalus azureivenitris	
		Minyobates spp.	
		<i>Oophaga</i> spp.	
		Phyllobates spp.	
		Ranitomeya spp.	

Dicroglossidae	
Frogs	
	Euphlyctis hexadactylus
	Hoplobatrachus tigerinus
Hylidae	
Tree frogs	
	Agalychnis spp.
Mantellidae	
Mantella frogs	
	Mantella spp.
Microhylidae	
Tomato frogs	
	Dyscophus antongilii
	Dyscophus guineti
	Dyscophus insularis
	Scaphiophryne boribory
	Scaphiophryne gottlebei
	Scaphiophryne marmorata
	Scaphiophryne spinosa
Myobatrachidae Gastric-brooding frogs	
	Rheobatrachus spp. (Except Rheobatrachus silus and Rheobatrachus

		<i>vitellinus</i> which are not included in the Appendices)	
Telmatobiidae Andean water frogs			
	Telmatobius culeus		
CAUDATA			
Ambystomatidae Axolotls, mole salamanders			
		Ambystoma dumerilii	
		Ambystoma mexicanum	
Cryptobranchidae Giant salamanders			
	Andrias spp.		
			Cryptobranchus alleganiensis (United States of America)
Hynobiidae Asiatic salamanders			
			Hynobius amjiensis (China)
Salamandridae Newts and salamanders			
		Echinotriton chinhaiensis	
		Echinotriton maxiquadratus	

	Neurergus kaiseri		
		Paramesotriton hongkongensis	
			Salamandra algira (Algeria)
		Tylototriton spp.	
CLASS ELASMOBRANCHII (SHARKS)			
CARCHARHINIFORMES			
Carcharhinidae Requiem sharks			
		Carcharhinus falciformis	
		Carcharhinus longimanus	
Sphyrnidae Hammerhead sharks			
		Sphyrna lewini	
		Sphyrna mokarran	
		Sphyrna zygaena	
LAMNIFORMES			
Alopiidae Thresher sharks			
		Alopias spp.	
Cetorhinidae			

Basking sharks		
	Cetorhinus maximus	
Lamnidae		
Mackerel sharks		
	Carcharodon carcharias	
	Isurus oxyrinchus	
	Isurus paucus	
	Lamna nasus	
MYLIOBATIFORMES		
Myliobatidae		
Eagle and mobulid rays		
	Manta spp.	
	Mobula spp.	
Potamotrygonidae		
Freshwater stingrays		
		Paratrygon aiereba (Colombia)
		<i>Potamotrygon</i> spp. (population of Brazil) (Brazil)
		Potamotrygon constellata (Colombia)
		Potamotrygon magdalenae (Colombia)

			Potamotrygon motoro (Colombia)
			Potamotrygon orbignyi (Colombia)
			Potamotrygon schroederi (Colombia)
			Potamotrygon scobina (Colombia)
			Potamotrygo yepezi (Colombia)
ORECTOLOBIFORMES			
Rhincodontidae Whale sharks			
		Rhincodon typus	
PRISTIFORMES			
Pristidae Sawfishes			
	Pristidae spp.		
RHINOPRISTIFORMES			
Glaucostegidae Guitarfishes			
		Glaucostegus spp.	
Rhinidae Wedgefishes			
		Rhinidae spp.	

CLASS ACTINOPTERI (FISHES)			
ACIPENSERIFORMES			
		ACIPENSERIFORMES spp. (Except the species included in Appendix I)	
Acipenseridae Sturgeons			
	Acipenser brevirostrum		
	Acipenser sturio		
ANGUILLEFORMES			
Anguillidae Freshwater eels			
		Anguilla anguilla	
CYPRINIFORMES			
Catostomidae Cui-ui			
	Chasmistes cujus		
Cyprinidae Carps			
		Caecobarbus geertsii	
	Probarbus jullieni		
OSTEOGLOSSIFORMES			
Arapaimidae Arapaimas			

		Arapaima gigas	
		·········· 0.000	
Osteoglossidae			
Bonytongue			
	Scleropages formosus		
	Scleropages inscriptus		
PERCIFORMES			
Labridae			
Wrasses			
		Cheilinus undulatus	
Pomacanthidae			
Angelfishes			
		Holacanthus clarionensis	
Sciaenidae			
Totoaba			
	Totoaba macdonaldi		
SILURIFORMES			
Loricariidae			
Loricariidae Armoured catfishes			
			Hypancistrus zebra
			Hypancistrus zebra (Brazil)
Armoured catfishes			

SYNGNATHIFORMES			
Syngnathidae Pipefishes, seahorses			
		Hippocampus spp.	
CLASS DIPNEUSTI (LUNGFISHES)			
CERATODONTIFORMES			
Neoceratodontidae Australian lungfishes			
		Neoceratodus forsteri	
CLASS COELACANTHI (COELACANTHS)			
COELACANTHIFORMES			
Latimeriidae Coelacanths			
	Latimeria spp.		
PHYLUM ECHINODERMATA CLASS HOLOTHUROIDEA (SEA CUCUMBERS)			
ASPIDOCHIROTIDA			
Stichopodidae Sea cucumbers			
			<i>Isostichopus fuscus</i> (Ecuador)

HOLOTHURIIDA		
Holothuriidae Teatfishes, Sea cucumbers		
	<i>Holothuria fuscogilva</i> (Entry into effect delayed by 12 months, i.e. until 28 August 2020)	
	<i>Holothuria nobilis</i> (Entry into effect delayed by 12 months, i.e. until 28 August 2020)	
	Holothuria whitmaei (Entry into effect delayed by 12 months, i.e. until 28 August 2020)	
PHYLUM ARTHROPODA CLASS ARACHNIDA (SCORPIONS AND SPIDERS)		
ARANEAE		
Theraphosidae Red-kneed tarantulas, tarantulas		
	Aphonopelna albiceps	
	Aphonopelna pallidum	
	Brachypelma spp.	
	Poecilotheria spp.	
SCORPIONES		
Scorpionidae		

Scorpions		
	Pandinus camerounensis	
	Pandinus dictator	
	Pandinus gambiensis	
	Pandinus imperator	
	Pandinus roeseli	
CLASS INSECTA (INSECTS)		
COLEOPTERA		
Lucanidae Stag beetles		
		<i>Colophon</i> spp. (South Africa)
Scarabaeidae Scarab beetles		
	Dynastes satanas	
LEPIDOPTERA		
Nymphalidae Brush-footed butterflies		
		<i>Agrias amydon boliviensis</i> (Plurinational State of Bolivia)
		<i>Morpho godartii lachaumei</i> (Plurinational State of Bolivia)

			Prepona praeneste buckleyana (Plurinational State of Bolivia)
Papilionidae Birdwing butterflies, swallowtail butterflies			
	Achillides chikae chikae		
	Achillides chikae hermeli		
		Atrophaneura jophon	
		Atrophaneura pandiyana	
		Bhutanitis spp.	
		<i>Ornithoptera</i> spp. (Except the species included in Appendix I)	
	Ornithoptera alexandrae		
	Papilio homerus		
		Papilio hospiton	
	Parides burchellanus		
		Parnassius apollo	
		Teinopalpus spp.	
		Trogonoptera spp.	
		Troides spp.	
PHYLUM ANNELIDA CLASS HIRUDINOIDEA (LEECHES)			

ARHYNCHOBDELLIDA			
Hirudinidae Medicinal leeches			
		Hirudo medicinalis	
		Hirudo verbana	
PHYLUM MOLLUSCA CLASS BIVALVIA (CLAMS AND MUSSELS)			
MYTILOIDA			
Mytilidae Marine mussels			
		Lithophaga lithophaga	
UNIONOIDA			
Unionidae Freshwater mussels, pearly mussels			
	Conradilla caelata		
		Cyprogenia aberti	
	Dromus dromas		
	Epioblasma curtisi		
	Epioblasma florentina		
	Epioblasma sampsonii		
	Epioblasma sulcata perobliqua		

Epioblasma torulosa gubernaculum		
	Epioblasma torulosa rangiana	
Epioblasma torulosa torulosa		
Epioblasma turgidula		
Epioblasma walkeri		
Fusconaia cuneolus		
Fusconaia edgariana		
Lampsilis higginsii		
Lampsilis orbiculata orbiculata		
Lampsilis satur		
Lampsilis virescens		
Plethobasus cicatricosus		
Plethobasus cooperianus		
	Pleurobema clava	
Pleurobema plenum		
Potamilus capax		
Quadrula intermedia		
Quadrula sparsa		
Toxolasma cylindrella		

	Unio nickliniana		
	Unio tampicoensis tecomatensis		
	Villosa trabalis		
VENEROIDA			
Tridacnidae			
Giant clams			
		Tridacnidae spp.	
CLASS CEPHALOPODA (SQUIDS, OCTOPUSES, CUTTLEFISH)			
NAUTILIDA			
Nautilidae			
Chambered nautilus			
		Nautilidae spp.	
CLASS GASTROPODA (SNAILS AND CONCHES)			
MESOGASTROPODA			
Strombidae			
True conchs			
		Strombus gigas	
STYLOMMATOPHORA			
Achatinellidae Agate snails, oahu tree snails			

	Achatinella spp.		
Camaenidae Green tree snails			
		Papustyla pulcherrima	
Cepolidae Helicoid terrestrial snails			
	Polymita spp.		
PHYLUM CNIDARIA CLASS ANTHOZOA (CORALS AND SEA ANEMONES)			
ANTIPATHARIA Black corals			
		ANTIPATHARIA spp.	
GORGONACEAE		ANTIPATHARIA spp.	
GORGONACEAE Coralliidae Red and pink corals		ANTIPATHARIA spp.	
Coralliidae		ANTIPATHARIA spp.	<i>Corallium elatius</i> (China)
Coralliidae		ANTIPATHARIA spp.	
Coralliidae		ANTIPATHARIA spp.	(China) Corallium japonicum

HELIOPORACEA	
Helioporidae Blue corals	
	<i>Helioporidae</i> spp. (Includes only the species <i>Heliopora</i> <i>coerulea</i> . Fossils are not subject to the provisions of the Convention)
SCLERACTINIA Stony corals	
	SCLERACTINIA spp. (Fossils are not subject to the provisions of the Convention)
STOLONIFERA	
Tubiporidae Organ-pipe corals	
	<i>Tubiporidae</i> spp. (Fossils are not subject to the provisions of the Convention)
CLASS HYDROZOA (SEA FERNS, FIRE CORALS AND STINGING MEDUSAE)	
MILLEPORINA	
Milleporidae Fire corals	
	<i>Milleporidae</i> spp. (Fossils are not subject to the provisions of the Convention)

STYLASTERINA	
Stylasteridae Lace corals	
	<i>Stylasteridae</i> spp. (Fossils are not subject to the provisions of the Convention)

- 1 For the exclusive purpose of allowing international trade in fibre from vicuñas (Vicugna vicugna) and their derivative products, only if the fibre comes from the shearing of live vicuñas. Trade in products derived from the fibre may only take place in accordance with the following provisions:
 - (a) Any person or entity processing vicuña fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin (Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru) to use the "vicuña country of origin" wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuña.
 - (b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
 - (i) For international trade in cloth made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified The VICUÑA (COUNTRY OF ORIGIN) wording, mark or logo has the format as detailed below:



This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA (COUNTRY OF ORIGIN).

- (ii) For international trade in garments made from live-sheared vicuna fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph b) i) must be used. This wording, mark or logo must appear on a label on the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph b) i).
- (c) For international trade in handicraft products made from live-sheared vicuna fibre produced within the range States of the species, the VICUÑA (COUNTRY OF ORIGIN) ARTESANÍA wording, mark or logo must be used as detailed below:



- (d) If live-sheared vicuna fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs b) i) and ii).
- (e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly
- 2 Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Appendix II):

For the exclusive purpose of allowing:

- (a) trade in hunting trophies for non-commercial purposes;
- (b) trade in live animals to appropriate and acceptable destinations, as defined in Resolution Conf. 11.20 (Rev. CoP17), for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa;
- (c) trade in hides;
- (d) trade in hair;
- (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe;
- (f) trade in individually marked and certified ekipas incorporated in finished jewellery for noncommercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe;
- (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe, whole tusks and pieces) subject to the following:
 - (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin);
 - (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP18) concerning domestic manufacturing and trade;
 - (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks;
 - (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12, which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa);
 - (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph g) iv) above, in a single sale per destination under strict supervision of the Secretariat;
 - (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and
 - (vii) the additional quantities specified in paragraph g) v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; and

(h) no further proposals to allow trade in elephant ivory from populations already in Appendix II shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs g) i), g) ii), g) ii), g) vi) and g) vii). In addition such further proposals shall be dealt with in accordance with Decisions 16.55 and 14.78 (Rev. CoP16). On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

FLORA (PLANTS)			
AGAVACEAE Agaves			
	Agave parviflora		
		Agave victoriae-reginae [#4]	
		Nolina interrata	
		Yucca queretaroensis	
AMARYLLIDACEAE Snowdrops, sternbergias			
		Galanthus spp. [#4]	
		Sternbergia spp. [#4]	
ANACARDIACEAE Cashews			
		Operculicarya decaryi	
		Operculicarya hyphaenoides	
		Operculicarya pachypus	
APOCYNACEAE Elephant trunks, hoodias			
		Hoodia spp. [#9]	
		<i>Pachypodium</i> spp. [#4] (Except the species included in Appendix I)	

Pachypodium ambongense	
Pachypodium baronii	
Pachypodium decaryi	
	Rauvolfta serpentina [#2]
	Panax ginseng [#3] (Only the population of the Russian Federation; no other population is included in the Appendices)
	Panax quinquefolius [#3]
Araucaria araucana	
	Beaucarnea spp.
	Podophyllum hexandrum [#2]
	Tillandsia harrisii [#4]
	ambongense Pachypodium baronii Pachypodium decaryi

		Tillandsia kammii [#4]	
		Tillandsia xerographica [#4]	
CACTACEAE Cacti			
		CACTACEAE spp. [9] [#4] (Except the species included in Appendix I and except <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.)	
	Ariocarpus spp.		
	Astrophytum asterias		
	Aztekium ritteri		
	Coryphantha werdermannii		
	Discocactus spp.		
	Echinocereus ferreirianus ssp. lindsayorum		
	Echinocereus schmollii		
	Escobaria minima		
	Escobaria sneedii		
	<i>Mammillaria pectinifera</i> (includes spp. <i>solisioides</i>)		
	Melocactus conoideus		
	Melocactus deinacanthus		
	Melocactus glaucescens		

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Melocactus paucispinus	
 Obregonia denegrii	
Pachycereus militaris	
Pediocactus bradyi	
Pediocactus knowltonii	
Pediocactus paradinei	
Pediocactus peeblesianus	
Pediocactus sileri	
Pelecyphora spp.	
Sclerocactus blainei	
Sclerocactus brevihamatus ssp. tobuschii	
Sclerocactus brevispinus	
Sclerocactus cloverae	
Sclerocactus erectocentrus	
Sclerocactus glaucus	
Sclerocactus mariposensis	
Sclerocactus mesae- verdae	
Sclerocactus nyensis	
Sclerocactus papyracanthus	

	Sclerocactus pubispinus		
	Sclerocactus sileri		
	Sclerocactus wetlandicus		
	Sclerocactus wrightiae		
	Strombocactus spp.		
	Turbinicarpus spp.		
	Uebelmannia spp.		
CARYOCARACEAE Ajo			
		<i>Caryocar costaricense</i> [#4]	
COMPOSITAE (Asteraceae) Kuth			
	Saussurea costus		
CUCURBITACEAE Melons, gourds, cucurbits			
		Zygosicyos pubescens	
		Zygosicyos tripartitus	
CUPRESSACEAE Alerce, cypresses			
	Fitzroya cupressoides		
	Pilgerodendron uviferum		

		Widdringtonia whytei
CYATHEACEAE Tree-ferns		
		Cyathea spp. [#4]
CYCADACEAE Cycads		
		CYCADACEAE spp. [#4] (Except the species included in Appendix I)
	Cycas beddomei	
DICKSONIACEAE Tree-ferns		
		Cibotium barometz [#4]
		<i>Dicksonia</i> spp. [#4] (Only the populations of the Americas; no other population is included in the Appendices)
DIDIEREACEAE Alluaudias, didiereas		
		DIDIEREACEAE spp. [#4]
DIOSCOREACEAE Elephant's foot, kniss		
		Dioscorea deltoidea [#4]
DROSERACEAE Venus' flytrap		
		Dionaea muscipula [#4]

EBENACEAE Ebonies			
		<i>Diospyros</i> spp. [#5] (Populations of Madagascar)	
EUPHORBIACEAE Spurges			
		<i>Euphorbia</i> spp. [#4] (Succulent species only except <i>Euphorbia</i> <i>misera</i> and the species included in Appendix I. Artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated specimens of crested, fan-shaped or colour mutants of <i>Euphorbia</i> <i>lactea</i> , when grafted on artificially propagated root stock of <i>Euphorbia</i> <i>neriifolia</i> , and artificially propagated specimens of cultivars of <i>Euphorbia</i> 'Milii' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)	
	Euphorbia ambovombensis		
	Euphorbia capsaintemariensis		
	Euphorbia cremersii (Includes the forma viridifolia and the var. rakotozafyi)		

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	Euphorbia cylindrifolia (Includes the ssp. tuberifera)		
	Euphorbia decaryi (Includes the vars. ampanihyensis, robinsonii and spirosticha)		
	Euphorbia francoisii		
	Euphorbia moratii (Includes the vars. antsingiensis, bemarahensis and multiflora)		
	Euphorbia parvicyathophora		
	Euphorbia quartziticola		
	Euphorbia tulearensis		
FAGACEAE Beeches			
			<i>Quercus mongolica</i> [#5] (Russian Federation)
FOUQUIERIACEAE Ocotillos		·	
		Fouquieria columnaris [#4]	
	Fouquieria fasciculata		
	Fouquieria purpusii		
GNETACEAE Gnetums			

			Gnetum montanum [#1] (Nepal)
JUGLANDACEAE Gavilan			
		Oreomunnea pterocarpa [#4]	
LAURACEAE Laurels			
		Aniba rosaeodora [#12]	
LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, palisander, rosewood, sandalwood			
		<i>Dalbergia</i> spp. [#15] (except for the species listed in Appendix I)	
	Dalbergia nigra		
			Dipteryx panamensis (Costa Rica, Nicaragua)
		Guibourtia demeusei [#15]	
		Guibourtia pellegriniana [#15]	
		Guibourtia tessmannii [#15]	
		Paubrasilia echinata [#10]	
		Pericopsis elata [#17]	

		Platymiscium parviflorum [#4]
		Pterocarpus erinaceus
		Pterocarpus santalinus [#7]
		Pterocarpus tinctorius [#6]
		Senna meridionalis
LILIACEAE Aloes		
		<i>Aloe</i> spp. [#4] (Except the species included in Appendix I. Also excludes <i>Aloe vera</i> , also referenced as <i>Aloe</i> <i>barbadensis</i> which is not included in the Appendices)
	Aloe albida	
	Aloe albiflora	
	Aloe alfredii	
	Aloe bakeri	
	Aloe bellatula	
	Aloe calcairophila	
	Aloe compressa (Includes the vars. paucituberculata, rugosquamosa and schistophila)	
	Aloe delphinensis	

	Aloe descoingsii		
	Aloe fragilis		
	<i>Aloe haworthioides</i> (Includes the var. <i>aurantiaca</i>)		
	Aloe helenae		
	Aloe laeta (Includes the var. maniaensis)		
	Aloe parallelifolia		
	Aloe parvula		
	Aloe pillansii		
	Aloe polyphylla		
	Aloe rauhii		
	Aloe suzannae		
	Aloe versicolor		
	Aloe vossii		
MAGNOLIACEAE Magnolias			
			<i>Magnolia liliifera</i> var. <i>obovata</i> [#1] (Nepal)
MALVACEAE Includes baobabs			(
		Adansonia grandidieri [#16]	

MELIACEAE Mahoganies, West Indian cedar		
	<i>Cedrela</i> spp. [#6] (Populations of the Neotropics) (Entry into effect delayed by 12 months, i.e. until 28 August 2020)	
		<i>Cedrela fissilis</i> [#5] (Plurinational State of Bolivia, Brazil) (To be deleted on 28 August 2020)
		<i>Cedrela lilloi</i> [#5] (Plurinational State of Bolivia, Brazil) (To be deleted on 28 August 2020)
		<i>Cedrela odorata</i> [#5] (Brazil and the Plurinational State of Bolivia. In addition, the following countries hav listed their national populations: Colombia Guatemala and Peru) (7 be deleted on 28 Augus 2020)
	Swietenia humilis [#4]	
	<i>Swietenia macrophylla</i> [#6] (Populations of the Neotropics)	
	Swietenia mahagoni [#5]	
NEPENTHACEAE Pitcher-plants (Old World)		

		<i>Nepenthes</i> spp. [#4] (Except the species included in Appendix I)	
	Nepenthes khasiana		
	Nepenthes rajah		
OLEACEAE Ashes, etc.			
			<i>Fraxinus mandshurica</i> [#5] (Russian Federation)
ORCHIDACEAE Orchids			
		ORCHIDACEAE spp. [#4] (Except the species included in Appendix I)	
	(For all of the following Appendix-I species, seedling or tissue cultures obtained <i>in</i> <i>vitro</i> , in solid or liquid media, and transported in sterile containers are not subject to the provisions of the Convention only if the specimens meet the definition of 'artificially propagated' agreed by the Conference of the Parties)		
	Aerangis ellisii		
	Cattleya jongheana		
	Cattleya lobata		
	Dendrobium cruentum		

	Mexipedium xerophyticum		
	Paphiopedilum spp.		
	Peristeria elata		
	Phragmipedium spp.		
	Renanthera imschootiana		
OROBANCHACEAE Broomrapes			
		Cistanche deserticola [#4]	
PALMAE (Arecaceae) Palms			
		Beccariophoenix madagascariensis [#4]	
		Dypsis decaryi [#4]	
	Dypsis decipiens		
		Lemurophoenix halleuxii	
			<i>Lodoicea maldivica</i> [#13] (Seychelles)
		Marojejya darianii	
		Ravenea louvelli	
		Ravenea rivularis	
		Satranala decussilvae	

Рорру			
			<i>Meconopsis regia</i> [#1] (Nepal)
PASSIFLORACEAE Passion-flowers			
		Adenia firingalavensis	
		Adenia olaboensis	
		Adenia subsessilifolia	
PEDALIACEAE Sesames			
		Uncarina grandidieri	
		Uncarina stellulifera	
PINACEAE Firs and pines			
	Abies guatemalensis		
			<i>Pinus koraiensis</i> [#5] (Russian Federation)
PODOCARPACEAE Podocarps		'	
			<i>Podocarpus neriifolius</i> [1] (Nepal)
	Podocarpus parlatorei		
PORTULACACEAE Lewisias, portulacas, purslanes		·	

		Anacampseros spp. [#4]
		Avonia spp. [#4]
		Lewisia serrata [#4]
PRUMULACEAE Cyclamens		
		Cyclamen spp. [#4]
RANUNCULACEAE Golden seals, yellow adonis, yellow root		
		Adonis vernalis [#2]
		Hydrastis canadensis [#8]
ROSACEAE African cherry, stinkwood		
		Prunus africana [#4]
RUBIACEAE Ayugue		
	Balmea stormiae	
SANTALACEAE Sandalwoods		
		<i>Osyris lanceolata</i> [#2] (Populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania)

Pitcher-plants (New World)		
		Sarracenia spp. [#4] (Except the species included in Appendix I)
	Sarracenia oreophila	
	Sarracenia rubra ssp. alabamensis	
	Sarracenia rubra ssp. jonesii	
SCROPHULARIACEAE Kutki		
		Picrorhiza kurrooa [#2] (Excludes Picrorhiza scrophulariiflora)
STANGERIACEAE Stangerias		
		Bowenia spp. [#4]
	Stangeria eriopus	
TAXACEAE Himalayan yew		
		<i>Taxus chinensis</i> and infraspecific taxa of this species [#2]
		<i>Taxus cuspidata</i> and infraspecific taxa of this species [12]
		<i>Taxus fuana</i> and infraspecific taxa of this species [#2]

	<i>Taxus sumatrana</i> and infraspecific taxa of this species [#2]	
	Taxus wallichiana [#2]	
THYMELAEACEAE (Aquilariaceae) Agarwood, ramin		
	Aquilaria spp. [#14]	
	Gonystylus spp. [#4]	
	Gyrinops spp. [#14]	
TROCHODENDRACEAE (Tetracentraceae) Tetracentron		
		<i>Tetracentron sinense</i> [#1 (Nepal)
VALERIANACEAE Himalayan spikenard		
	Nardostachys grandiflora [#2]	
VITACEAE Grapes		
	Cyphostemma elephantopus	
	Cyphostemma laza	

Welwitschia		
		Welwitschia mirabilis [#4]
ZAMIACEAE Cycads		
		ZAMIACEAE spp. [#4] (Except the species included in Appendix I)
	Ceratozamia spp.	
	Encephalartos spp.	
	Microcycas calocoma	
	Zamia restrepoi	
ZINGIBERACEAE Ginger lily, Natal ginger		
		Hedychium phillippinense [#4]
		Siphonochilus aethiopicus (Populations of Mozambique, South Africa, Swaziland and Zimbabwe)
ZYGOPHYLLACEAE Lignum-vitae		
		Bulnesia sarmientoi [48#11]
		Guaiacum spp. [9#2]

- [#1] All parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus Vanilla.
- [#2] All parts and derivatives except:
 - (a) seeds and pollen; and
 - (b) finished products packaged and ready for retail trade.
- [#3] Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.
- [#4] All parts and derivatives, except:
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dypsis decaryi* exported from Madagascar;
 - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;
 - (e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
 - (f) finished products of Aloe *ferox* and *Euphorbia antisyphilitica* packaged and ready for retail trade.
- [#5] Logs, sawn wood and veneer sheets.
- [#6] Logs, sawn wood, veneer sheets and plywood.
- [#7] Logs, woodchips, powder and extracts.
- [#8] Underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- [9] Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention:
 - Haitora x graeseri
 - Schlumbergera x buckleyi
 - Schlumbergera russelliana x Schlumbergera truncata
 - Schlumbergera orssichiana x Schlumbergera truncata
 - Schlumbergera opuntioides x Schlumbergera truncata
 - Schlumbergera truncata (cultivars)
 - *Cactaceae* spp. colour mutants grafted on the following grafting stocks: Harrisia 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*
 - Opuntia microdasys (cultivars).

[#9] All parts and derivatives except those bearing a label:

"Produced from Hoodia spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]".

- [#10] Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- [11] Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.
- [#11] Logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- [12] Artificially propagated hybrids and cultivars of Taxus cuspidata, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.
- [#12] Logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- [#13] The kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.
- [#14] All parts and derivatives except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - (c) fruits;
 - (d) leaves;
 - (e) exhausted agarwood powder, including compressed powder in all shapes; and
 - (f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.
- [#15] All parts and derivatives, except:
 - (a) Leaves, flowers, pollen, fruits, and seeds;
 - (b) Finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
 - (c) Finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
 - (d) Parts and derivatives of Dalbergia cochinchinensis, which are covered by Annotation # 4; and
 - (e) Parts and derivatives of Dalbergia spp. originating and exported from Mexico, which are covered by Annotation # 6.
- [#16] Seeds, fruits and oils.
- [#17] Logs, sawn wood, veneer sheets, plywood and transformed wood.